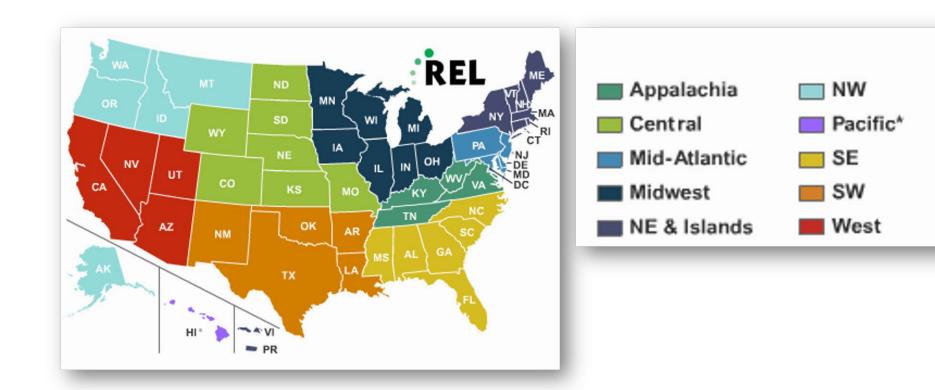


Logic Models for Implementing Evidence-Based Supports for Principals

Reino Makkonen and Lenay Dunn Arizona Leading Change Conference June 7, 2019

About the Regional Educational Laboratory (REL) Program

Ten RELs work in partnership with LEAs, SEAs, and others to use data and research to improve academic outcomes for students.





RELs: Three Main Activities

- Conduct applied research
- Facilitate the flow of actionable, credible, up-to-date research evidence
- Provide technical support around data collection, evidence use, and research



Today's Session Goals

- Gain deeper knowledge about ESSA evidence requirements and the evidence base underlying site leader interventions and supports.
- Identify the key components of evidence-based supports, in order to design or refine your own local efforts to build site leader capacity.



Session Agenda

- Overview of the Every Student Succeeds Act (ESSA) and Evidence Tiers
- Key Lessons from Research on Principals and Evidence-Based Interventions
- Facilitated Table Discussion 1: Your Local Evidence Base?
- Logic Models and Professional Learning for Principals
- Facilitated Table Discussion 2: Logic Model for Local Principal Supports



Evidence-Based – Then and Now

- NCLB: "Scientifically-based research" to choose programs with strong evidence
- ESSA: Levels of evidence to inform choice of program or practice; local evaluation and building of evidence over time

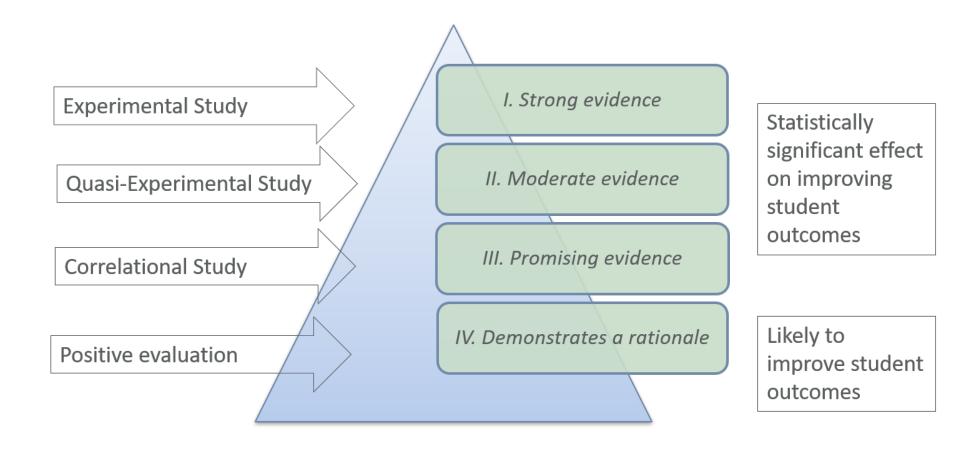


Implications of "Evidence-Based"

- Greater flexibility
- Broader array of choices
- Potential for better match to needs
- Increased responsibility
- Need for guidance and support



ESSA Evidence Levels





What Is Not Considered Evidence Under ESSA?

- Case studies selected to identify common patterns or themes
- Anecdotes about the success of an improvement activity
- Analysis of untested/not-validated outcomes (e.g., opinion surveys)
- Theory presented without any outcome analysis



Some Relevant Lessons from Research on Principals

- Principals' leadership is the second-largest school-level influence on student learning, after classroom instruction (Branch, Hanushek, and Rivkin, 2012)
- Principals' impact on student achievement is indirect, channeled through changes in instruction or school climate (Gates et al., 2003)
- Effective site leadership is associated with lower teacher turnover (Grissom, Kalogrides, and Loeb, 2015)
- Principal turnover has been associated with increased teacher attrition and decreased student achievement (Herman et al., 2017)



School leadership interventions under ESSA: Evidence review by RAND



- Describes how can ESSA be used to support evidence-based school leadership interventions
- Summarizes the evidence base for leadership initiatives based on rigorous empirical studies

Available at https://www.rand.org/pubs/research_reports/RR1550-3.html



RAND Review: Professional Learning for Principals

McREL Balanced Leadership Program	Tier I	No impact on student achievement or teacher-reported instructional climate; lower staff turnover in treatment schools
National Institute for School		

Positive effects on reading and math achievement Tier II

Principals were more learner-centered following

coaching; conceptual framework based on research

Leadership Executive Devt Program Logic model based on research; positive evaluation Arkansas Leadership Academy's findings, including improved leadership practices, Tier IV school culture change, and improved achievement Master Principal Program (self-reported)

Findings Intervention Name Evidence

Tier IV

Metropolitan Independent School

District Principal Coaching Initiative

RAND Review: Principal Evaluation Systems

Intervention Name	Evidence	Findings
Vanderbilt Assessment of Leadership in Education (VAL-Ed)	Tier IV	Prior research supports theory of action: feedback on performance improves leadership behaviors, which improve school performance and student success
Marzano School Leader Evaluation Model	Tier IV	Prior research supports the use of key components of the model, which is hypothesized to improve student achievement



Facilitated Table Discussion 1

- How do you currently support your school leaders?
- How would you characterize the evidence base underlying your support(s) for school leaders? Why?
- Has there ever been a study published on the program/initiative?
- Have you conducted a local evaluation, or are you collecting data?



ESSA Tier IV requires a rationale (logic model), plus an evaluation

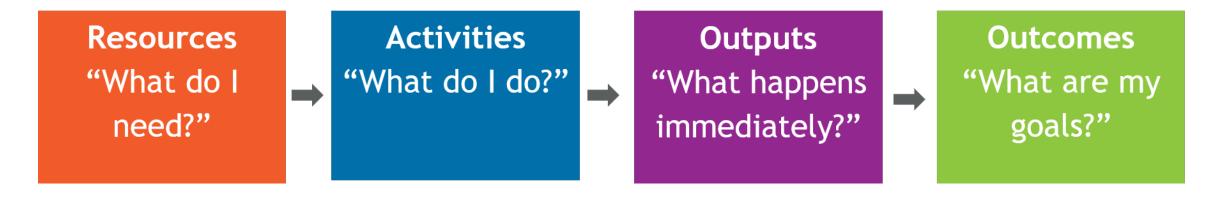
Logic Model

- A well-specified conceptual framework and visual representation of the program and its desired outcomes
- Identifies key components/active ingredients of the program
- Describes the "if/then" relationships among the key components and outcomes, theoretically and operationally
- Can maximize the impact of investments (specifies theory, helps monitor and evaluate outputs and outcomes)



Road Map to Logic Models

"What issue am I addressing?"





Logic models for selecting, designing, and implementing evidencebased school leadership interventions



Companion Guide to School Leadership Interventions Under the Every Student Succeeds Act

LINDSAY DAUGHERTY, REBECCA HERMAN, AND FATIH UNLU









- Unpacks the relationship between types of intervention and student outcomes
- Tool for state and district policymakers

Available at https://www.rand.org/pubs/tools/TL274.html



Desired Outcomes from Leadership Development Programs

Short-term (1 year)

- Improved leader capacities
- Set direction, vision, goals
- Manage instructional program and school climate
- Drive staff professional learning
- Manage time strategically
- Use theory and evidence to drive practice
- Interact with external stakeholders
- Communicate and connect effectively
- Adapt to school needs
- Inspire staff and promote innovation

Medium-term (2–3 years)

- Improved school outcomes
- Instructional quality
- Culture/climate
- Retention of quality staff

Long-term (4+ years)

- Improved student outcomes
- Attendance
- Behavior
- Achievement
- Graduation
- College/career success



Example: Professional Learning for Principals (1)

Problem Statement: The ongoing training, support, and professional development offered to principals may fail to meet the needs of all principals, especially early-career principals and those placed in the most challenging schools. The amount of professional learning offered may be insufficient, the content of professional learning may not necessarily be aligned with principal or school needs, and/or the delivery of content may not be effective.



Example: Professional Learning for Principals (2)

- Activities and Outputs
- See Handout 1 for details

Activities



- Identify and train (as needed) professional development providers (trainers and coaches) on the curriculum/ program structure
- Align professional learning with principal needs
- Provide principals with highquality training (i.e., evidencebased, applied, intensive) that contributes to career progression
- Provide principals with access to regular, just-in-time support
- Develop peer learning communities and facilitate engagement

Outputs

- Program content and structure is aligned with evidence on effective leadership
- High-quality trainers/ coaches/mentors prepared to deliver training to principals
- Principals receive professional learning aligned with their needs
- Principals receive sufficient, high-quality training during formal training sessions
- Principals receive sufficient, high-quality support between formal training sessions

Facilitated Table Discussion 2

Complete Handout 2: Logic Model Template

Problem Statement: What is the school leadership challenge you most need to address?

Support Strategy/Program: What makes sense for you?

Outcomes: What specific changes are you seeking?

Activities and Outputs: What principal improvement activities are likely to lead to those changes? What will happen immediately?

Resources: What human resources are needed to effectively implement the strategy/program?



References

- Branch, G. F., Hanushek, E. A., and Rivkin, S. G. (2013) School leaders matter: Measuring the impact of effective principals. *Education Next*, 13(1), 62–69.
 Online at https://www.educationnext.org/school-leaders-matter/
- Gates, S. M., Ringel, J. S., Santibañez, L., Chung, C. H., and Ross, K. E. (2003). Who is leading our schools? An overview of school administrators and their careers. Santa Monica, CA: RAND Corporation. Online at http://www.rand.org/pubs/monograph_reports/MR1679.html
- Grissom, J. A., Kalogrides, D., and Loeb, S. (2015). Using student test scores to measure principal performance. Education Evaluation and Policy Analysis, 37(1), 3–28.
- Herman, R., Gates, S. M., Arifkhanova, A., Barrett, M., Bega, A., Chavez-Herrerias, E. R., Han, E., Harris, M., Migacheva, K., Ross, R., Leschitz, J. T., and Wrabel, S. L. (2017). School leadership interventions under the Every Student Succeeds Act: Evidence review (Updated and expanded). Santa Monica, CA: RAND Corporation.





ies.ed.gov/ncee/edlabs/regions/west

This presentation was prepared for the Institute of Education Sciences (IES) under Contract ED-IES-17-C-0012 by Regional Educational Laboratory (REL) West at WestEd. The content of the presentation does not necessarily reflect the views or policies of IES or the U.S. Department of Education, nor does mention of trade names, commercial products, or organizations imply endorsement by the U.S. Government.

The Regional Educational Laboratory West (REL West) at WestEd provides scientifically valid research findings that help meet the education needs in Arizona, California, Nevada, and Utah.

Our staff draw from existing high-quality research, as well as conduct research and development projects and experimental studies. We also help stakeholders interpret evidence and build their own research capacity.